# **SECTION IV**

# RULES FOR DRESSAGE

# Contents

#### Preamble

## **Code of Conduct**

Chapter I Paces and Movements	
Object and General Principles of Dressage	301
The Halt	302
The Walk	303
The Trot	304
The Canter	305
The Rein-back	306
The Transitions	307
The Half Halts	308
The Change of directions	309
The Figures	310
Leg-Yielding	311
Lateral Movements	312
The Pirouette, the Half-Pirouette and Turn on the Haunches	313
The Passage	314
The Piaffe	315
The Impulsion/The Submission	316
The Collection	317
The Position and aids of the Competitor	318
Chapter II General Dressage Rules	
Introduction	319
Definition of Dressons Competitions	200

	513
Definition of Dressage Competitions	320
Standards and Tests	321
Conditions of Participation	322
Commanded Tests	323
Grading of Horses	324

Rule

Graded Competitions Placing of Competitors	325 326
Chapter III Arenas	
Arenas	327
Practice Arena	328
Chapter IV Equipment	
Saddlery	329
Dress	330
Weight	331
Incorrect Equipment/Dress	332
Chapter V Riding the Test	
Duration of Judging the Test	333
Entering and Leaving the Arena Execution of Test	334 335
Reins in One Hand During Test	336
Salute	337
Chapter VI Interpretation of Test Instructions	
Interpretation of Test Instructions	338
Chapter VII Judging of Dressage Tests	
Execution of the Tests	340
Time	341
Marking	342
Judges' Sheets Classification	343 344
Prize-giving	345
	010
Chapter VIII Entering for a Dressage Event	
Entering for a Dressage Event	346
Competition Etiquette	347
Interpretation of Score Sheets	348
Chapter IX Ground Jury	
Judges	349
Listing of Judges	350
Appointment of Judges Abuse of horses	351
	352

## Preamble

The present Rules for Dressage Events are effective 1 September 2018 and supersede all other versions. They must be read in conjunction with the General Rules of the HKEF.

Every eventuality cannot be provided for in these Dressage Rules. In any unforeseen or exceptional circumstances, it is the duty of the Ground Jury or the relevant person or body to make a decision in a sporting spirit, by approaching as near as possible the intention of the Dressage Rules and the HKEF General Rules. Should there be any omissions in the Dressage Rules, such omission shall be interpreted in a manner compatible to the fullest extent with the other provisions of these Dressage Rules and other Rules of the HKEF, and sporting spirit.

In the Dressage Rules, the use of the masculine shall be interpreted to also include the feminine form.

# CODE OF CONDUCT

## THE FEI CODE OF CONDUCT FOR THE WELFARE OF THE HORSE

The HKEF requires all those involved in national and international equestrian sport to adhere to the FEI's Code of Conduct and to acknowledge and accept that at all times the welfare of the Horse must be paramount and must never be subordinated to competitive or commercial influences.

1. At all stages during the preparation and training of Competition Horses, welfare must take precedence over all other demands.

#### a) Good Horse management

Stabling, feeding and training must be compatible with good Horse management and must not compromise welfare. Any practices that could cause physical or mental suffering, in or out of Competition, will not be tolerated.

#### b) Training methods

Horses must only undergo training that matches their physical capabilities and level of maturity for their respective disciplines. They must not be subjected to any training methods which are abusive or cause fear or for which they have not been properly prepared.

#### c) Farriery and tack

Foot care and shoeing must be of a high standard. Tack must be designed and fitted to avoid the risk of pain or injury.

#### d) Transport

During transportation, Horses must be fully protected against injuries and other health risks. Vehicles must be safe, well ventilated, maintained to a high standard, disinfected regularly and driven by competent staff. Competent handlers must always be available to manage the Horses.

## e) Transit

All journeys must be planned carefully, and Horses allowed regular rest periods with access to food and water in line with current FEI guidelines.

2. Horses and Athletes must be fit, competent and in good health before they are allowed to compete.

## a) Fitness and competence

Participation in Competition must be restricted to fit Horses and Athletes of proven competence.

## b) Health status

No Horse showing symptoms of disease, lameness or other significant ailments or pre-existing clinical conditions should compete or continue to compete when to do so would compromise its welfare. Veterinary advice must be sought whenever there is any doubt.

#### c) Doping and Medication

Abuse of doping and medication is a serious welfare issue and will not be tolerated. After any veterinary treatment, sufficient time must be allowed for full recovery before Competition.

#### d) Surgical procedures

Any surgical procedures that threaten a competing Horse's welfare or the safety of other Horses and/or Athletes must not be allowed.

#### e) Pregnant/recently foaled mares

Mares must not compete after their fourth month of pregnancy or with foal at foot.

f) Misuse of aids

Abuse of a Horse using natural riding aids or artificial aids (e.g. whips, spurs, etc will not be tolerated.

3. Events must not prejudice Horse welfare.

## a) Competition areas

Horses must only be trained and compete on suitable and safe surfaces. All obstacles must be designed with the safety of the Horse in mind.

## b) Ground surfaces

All ground surfaces on which Horses walk, train or compete must be designed and maintained to reduce factors that could lead to injuries. Particular attention must be paid to the preparation, composition and upkeep of surfaces.

## c) Extreme weather

Competitions must not take place in extreme weather conditions if the welfare or safety of the Horse may be compromised. Provision must be made for cooling Horses quickly after competing.

## d) Stabling at Events

Stables must be safe, hygienic, comfortable, well ventilated and of sufficient size for the type and disposition of the Horse. Clean, good quality and appropriate feed and bedding, fresh drinking water, and washing-down water must always be available.

## e) Fitness to travel

After Competition, a Horse must be fit to travel in accordance with the FEI's guidelines.

 Every effort must be made to ensure that Horses receive proper attention after they have competed and that they are treated humanely when their Competition careers are over.

### a) Veterinary treatment

Veterinary expertise must always be available at an Event. If a Horse is injured or exhausted during a Competition, the Athlete must dismount and a veterinarian must check the Horse.

#### b) Referral centres

Wherever necessary, the Horse should be collected by ambulance and transported to the nearest relevant treatment centre for further assessment and therapy. Injured Horses must be given full supportive treatment before transport.

## c) Competition injuries

The incidence of injuries sustained in Competition should be monitored. Ground surface conditions, frequency of Competitions and any other risk factors should be examined carefully to indicate ways to minimise injuries.

#### d) Euthanasia

If injuries are sufficiently severe the Horse may need to be euthanized by a veterinarian as soon as possible on humane grounds and with the sole aim of minimising suffering.

#### e) Retirement

Every effort should be made to ensure that Horses are treated sympathetically and humanely when they retire from Competition.

5. The FEI urges all those involved in equestrian sport to attain the highest possible levels of education in their areas of expertise relevant to the care and management of the Competition Horse. This Code of Conduct for the Welfare of the Horse may be modified from time to time and the views of all are welcomed. Particular attention will be paid to new research findings and the FEI encourages further funding and support for welfare studies.

# CHAPTER I PACES AND MOVEMENTS

## Article 301 - Object and General Principles of Dressage

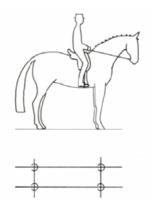
1. The object of dressage is the development of the horse into a happy athlete through harmonious education. As a result, it makes the horse calm, supple, loose and flexible, but also confident, attentive and keen, thus achieving perfect understanding with the athlete.

These qualities are revealed by:

- The freedom and regularity of the paces.
- The harmony, lightness and ease of the movements.
- The lightness of the forehand and the engagement of the hindquarters, originating from a lively impulsion.
- The acceptance of the bit, with submissiveness/throughness (Durchlässigkeit) without any tension or resistance.
- 2. The horse thus gives the impression of doing, of its own accord, what is required. Confident and attentive, submitting generously to the control of the athlete, remaining absolutely straight in any movement on a straight line and bending accordingly when moving on curved lines.
- 3. The walk is regular, free and unconstrained. The trot is free, supple, regular and active. The canter is united, light and balanced. The hindquarters are never inactive or sluggish. The horse responds to the slightest indication of the competitor and thereby gives life and spirit to all the rest of its body.
- 4. By virtue of a lively impulsion and the suppleness of the joints, free from the paralysing effects of resistance, the horse obeys willingly and without hesitation and responds to the various aids calmly and with precision, displaying a natural and harmonious balance both physically and mentally.
- 5. In all the work, even at the halt, the horse must be "on the bit". A horse is said to be "on the bit" when the neck is more or less raised and arched according to the stage of training and the extension or collection of the pace, accepting the bridle with a light and consistent soft submissive contact. The head should remain in a steady position, as a rule slightly in front of the vertical, with a supple poll as the highest point of the neck, and no resistance should be offered to the competitor.
- 6. Cadence is shown in trot and canter and is the result of the proper harmony that a horse shows when it moves with well-marked regularity, impulsion and balance. Cadence must be maintained in all the different trot or canter exercises and in all the variations of these paces.
- 7. The regularity of the paces is fundamental to dressage.

#### Article 302 – The Halt

- 1. At the halt the horse should stand attentive, engaged, motionless, straight and square with the weight evenly distributed over all four legs. The neck should be raised with the poll as the highest point and the noseline slightly in front of the vertical. While remaining "on the bit" and maintaining a light and soft contact with the athlete's hand, the horse may quietly chew the bit and should be ready to move off at the slightest indication of the athlete. The halt must be shown for at least 3 seconds. The halt should be shown throughout the salute.
- 2. The halt is obtained by the displacement of the horse's weight to the hindquarters by a properly increased action of the seat and legs of the athlete, driving the horse towards a softly closed hand, causing an almost instantaneous but not abrupt halt at a previously fixed place. The halt is prepared by a series of half-halts (see transitions).
- 3. The quality of the paces before and after the halt is an integral part of the assessment.

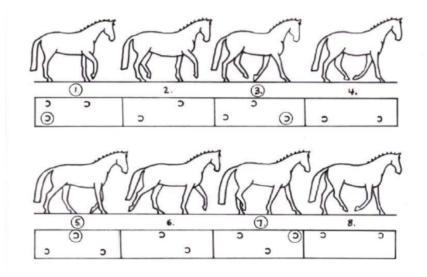


#### Article 303 – The Walk

- 1. The walk is a marching pace in a regular and well-marked 4-time beat with equal intervals between each beat. This regularity combined with full relaxation must be maintained throughout all walk movements.
- 2. When the foreleg and the hind leg on the same side move almost on the same beat, the walk tends to become an almost lateral movement. This irregularity, which might become an ambling movement, is a serious deterioration of the pace.
- 3. The following walks are recognised: Medium walk, Collected walk, Extended walk and Free walk. There should always be a clear difference in the attitude and overtracking in these variations.
  - 3.1 **Medium walk**. A clear, regular and unconstrained walk of moderate lengthening. The horse, remaining "on the bit", walks energetically but

relaxed with even and determined steps, the hind feet touching the ground in front of the hoof prints of the fore feet. The athlete maintains a light, soft and steady contact with the mouth, allowing the natural movement of the head and neck.

- 3.2 **Collected walk**. The horse, remains "on the bit", moves resolutely forward, with its neck raised and arched and showing a clear self-carriage. The head approaches the vertical position and a light contact is maintained with the mouth. The hind legs are engaged with good hock action. The pace should remain marching and vigorous, the feet being placed in regular sequence. The steps cover less ground and are higher than at the medium walk, because all the joints bend more markedly. The collected walk is shorter than the medium walk, although showing greater activity.
- 3.3 **Extended walk**. The horse covers as much ground as possible, without haste and without losing the regularity of the steps. The hind feet touch the ground clearly in front of the hoof prints of the fore feet. The athlete allows the horse to stretch out the head and neck (forward and downwards) without losing contact with the mouth and control of the poll. The nose must be clearly in front of the vertical.



The walk is a pace in 4-beat rhythm with 8 phases (numbers in circles indicate the beat).

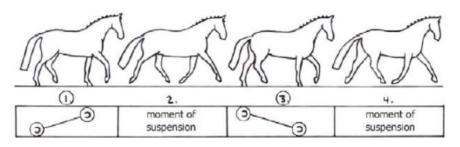
- 3.4 **Free walk**. The free walk is a pace of relaxation in which the horse is allowed complete freedom to stretch out his head and neck. The degree of ground cover and length of strides, with hind feet stepping clearly in front of the footprints of the front feet, are essential to the quality of the free walk.
- 3.5 **Stretching on a long rein.** This exercise gives a clear impression of the "throughness" of the horse and proves the balance, suppleness, obedience and relaxation. In order to execute the exercise "stretching on a long rein" correctly, the athlete must lengthen the reins as the horse stretches gradually forward and downward. As the neck stretches forwards and

downwards, the mouth should reach more or less to the horizontal line corresponding with the point of the shoulder. An elastic and consistent contact with the athlete's hands must be maintained. The pace must maintain its rhythm, and the horse should remain light in the shoulders with the hindlegs well engaged. During the retake of the reins the horse must accept the contact without resistance in the mouth or poll.

#### Article 304 – The Trot

- 1. The trot is a 2-beat pace of alternate diagonal legs (left fore and right hind leg and vice versa) separated by a moment of suspension.
- 2. The trot should show free, active and regular steps.
- 3. The quality of the trot is judged by general impression, i.e. the regularity and elasticity of the steps, the cadence and impulsion in both collection and extension. This quality originates from a supple back and well-engaged hindquarters, and by the ability to maintain the same rhythm and natural balance with all variations of the trot.
- 4. The following trots are recognised: Working trot, Lengthening of Steps, Collected trot, Medium trot and Extended trot.
  - 4.1 **Working trot**. This is a pace between the collected and the medium trot, in which a horse's training is not yet developed enough and ready for collected movements. The horse shows proper balance and, remaining "on the bit", goes forward with even, elastic steps and good hock action. The expression "good hock action" underlines the importance of an impulsion originating from the activity of the hindquarters.
  - 4.2 **Lengthening of steps/strides**. In low level tests and the test for 4-year-old horses, "lengthening of steps/strides" is required. This is a variation between the working and medium trot in which a horse's training is not developed enough for medium trot.
  - 4.3 **Collected trot.** The horse, remaining "on the bit", moves forward with the neck raised and arched. The hocks, being well-engaged and flexed, must maintain an energetic impulsion, enabling the shoulders to move with greater mobility, thus demonstrating complete self-carriage. Although the horse's steps are shorter than in the other trots, elasticity and cadence are not lessened.
  - 4.4 **Medium trot.** This is a pace of moderate lengthening compared to the extended trot, but "rounder" than the latter. Without hurrying, the horse goes forward with clearly lengthened steps and with impulsion from the hindquarters. The athlete allows the horse to carry the head a little more in front of the vertical than at the collected and the working trot, and to lower the head and neck slightly. The steps should be even, and the whole movement balanced and unconstrained.

- 4.5 **Extended trot.** The horse covers as much ground as possible. Without hurrying, the steps are lengthened to the utmost as a result of great impulsion from the hindquarters. The athlete allows the horse to lengthen the frame and to gain ground whilst controlling the poll, The fore feet should touch the ground on the spot towards which they are pointing. The movement of the fore and hind legs should reach equally forward in the moment of extension. The whole movement should be well-balanced and the transition to collected trot should be smoothly executed by taking more weight on the hindquarters.
- 5. All trot work in FEI tests is executed "sitting", unless otherwise indicated in the test. (See also Art 338.5)
- 6. **Stretching on a long rein.** This exercise gives a clear impression of the "thoroughness" of the horse and proves its balance, suppleness, obedience and relaxation. In order to execute the exercise "stretching on a long rein" correctly, the athlete must lengthen the reins as the horse stretches gradually forwards and downward. As the neck stretches forwards and downwards, the mouth should reach more or less to the horizontal line corresponding with the point of the shoulder. An elastic and consistent contact with the athlete's hands must be maintained. The pace must maintain its rhythm, and the horse should remain light in the shoulders with the hind legs well engaged. During the retake of the reins the horse must accept the contact without resistance in the mouth or poll.



The trot is a pace in 2-beat rhythm with 4 phases (Numbers in circles indicate the beat)

#### Article 305 – The Canter

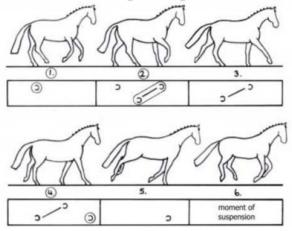
- 1. The canter is a 3-beat pace where, in canter to the right, for example, the footfall is as follows: left hind, left diagonal (simultaneously left fore and right hind), right fore, followed by a moment of suspension with all 4 feet in the air before the next stride begins.
- 2. The canter, always with light, cadenced and regular strides, should be moved into without hesitation.
- 3. The quality of the canter is judged by the general impression, i.e. the regularity and lightness of the steps and the uphill tendency and cadence originating from

the acceptance of the bridle with a supple poll and in the engagement of the hindquarters with an active hock action – and by the ability of maintaining the same rhythm and a natural balance, even after a transition from one canter to another. The horse should always remain straight on straight lines and correctly bent on curved lines.

- 4. The following canters are recognised: Working canter, lengthening of strides, Collected canter, Medium canter and Extended canter.
  - 4.1 **Working canter.** This is a pace between the collected and the medium canter, in which a horse's training is not yet developed enough and ready for collected movements. The horse shows natural balance while remaining "on the bit", and goes forward with even, light and active strides and good hock action. The expression "good hock action" underlines the importance of an impulsion originating from the activity of the hindquarters.
  - 4.2 **Lengthening of strides.** In low level tests and the test for 4-year-old horses "lengthening of strides" is required. This is a variation between the working and medium canter in which a horse's training is not developed enough for medium canter.
  - 4.3 **Collected canter.** The horse, remaining "on the bit", moves forward with the neck raised and arched. The hocks, being well-engaged, maintain an energetic impulsion, enabling the shoulders to move with greater mobility thus demonstrating self carriage and an uphill tendency. The horse's strides are shorter than in the other canters, without losing elasticity and cadence.
  - 4.4 **Medium canter**. This is a pace between the working and the extended canter. Without hurrying, the horse goes forward with clearly lengthened strides and impulsion from the hindquarters. The athlete allows the horse to carry the head a little more in front of the vertical than at the collected and working canter, and at the same time allows the horse, to lower the head and neck slightly. The strides should be balanced and unconstrained.
  - 4.5 **Extended canter.** The horse covers as much ground as possible. Without hurrying, the strides are lengthened to the utmost. The horse remains calm, light and straight as a result of great impulsion from the hindquarters. The athlete allows the horse to lengthen the frame with a controlled poll and to gain ground. The whole movement should be well-balanced and the transition to collected canter should be smoothly executed by taking more weight on the hindquarters.
  - 4.6 **Counter–canter.** The counter canter is a balancing and straightening movement that must be executed in collection. The horse canters in correct sequence with the outside foreleg leading with positioning to the side of the leading leg. The foreleg should be aligned to the same track as the hind leg.
  - 4.7 **Simple change of leg at the canter.** This is a movement in which, after a direct transition out of the canter into a walk, with 3 to 5 clearly defined steps, an immediate transition is made into the other canter lead.

4.8 **Flying change of leg.** The flying change is performed in one stride with the front and hind legs changing at the same moment. The change of the leading front and hind leg takes place during the moment of suspension. The aids should be precise and unobtrusive. Flying changes of leg can also be executed in series at every 4th, 3rd, 2nd or at every stride. The horse, even in the series, remains light, calm and straight with lively impulsion, maintaining the same rhythm and balance throughout the series concerned. In order not to restrict or restrain the lightness, fluency and groundcover of the flying changes in series, enough impulsion must be maintained.

Aims of flying changes: To show the reaction, sensitivity and obedience of the horse to the aids for the change of leg.



The canter is a pace in 3-beat rhythm with 6 phases

## Article 306 – The Rein-back

- 1. Rein-back is a rearward diagonal movement with a 2-beat rhythm but without a moment of suspension. Each diagonal pair of legs is raised and returned to the ground alternatively, with the forelegs aligned on the same track as the hindlegs.
- 2. During the entire exercise, the horse should remain "on the bit", maintaining its desire to move forward.
- 3. Anticipation or precipitation of the movement, resistance to or evasion of the contact, deviation of the hindquarters from the straight line, spreading or inactive hind legs and dragging forefeet are serious faults.
- 5. The steps are counted as each foreleg moves back. After completing the required number of steps backward, the horse should show a square halt or move forward in the required pace immediately. In tests where a rein-back of one horse's length is required, it should be executed with 3 or 4 steps.
- 6. A rein-back series (Schaukel) is a combination of 2 rein-backs with walk steps in between. It should be executed with fluent transitions and the required number of steps.

## Article 307 – The Transitions

The changes of pace and variations within the paces should be exactly performed at the prescribed marker. The cadence (except in walk) should be maintained up to the moment when the pace or movement is changed or the horse halts. The transitions within the paces must be clearly defined while maintaining the same rhythm and cadence throughout. The horse should remain light in hand, calm, and maintain a correct position.

The same applies to transitions from one movement to another, for instance from passage to piaffe or vice versa.

#### Article 308 – The Half Halts

Every movement or transition should be invisibly prepared by barely perceptible half halts. The half halt is an almost simultaneous, coordinated action of the seat, the legs and the hands of the athlete, with the object of increasing the attention and balance of the horse before the execution of the movements or transitions to lower and higher paces. By shifting slightly more weight onto the horse's hindquarters, the engagement of the hind legs and the balance on the haunches are improved for the benefit of the lightness of the forehand and the horse's balance as a whole.

#### Article 309 – The Changes of Direction

- 1. At changes of direction, the horse should adjust the bend of his body to the curvature of the line it follows, remaining supple and following the indications of the athlete, without any resistance or change of pace, rhythm or speed.
- 2. Changes of directions can be executed in the following ways:
  - a. Right-angled turn including riding through the corner (one quarter of a volte of approx. 6 metres).
  - b. Short and long diagonal.
  - c. Half voltes and half circles with change of rein
  - d. Half pirouettes and turn on the haunches.
  - e. Serpentine loops.
  - f. Counter-changes of hand (in zig-zag).\* The horse should be straight for a moment before changing direction.
  - \* Zig-zag: A movement containing more than 2 half-passes with changes of direction.

#### Article 310 – The Figures

The figures asked in dressage tests are the voltes, the serpentines and the figures of eight.

1. Volte

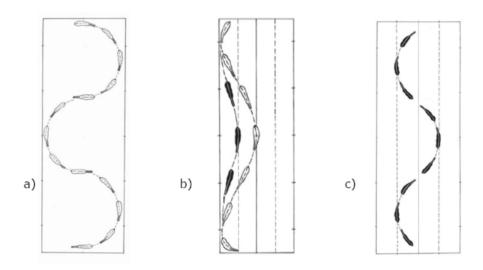
The volte is a circle of 6, 8 or 10 metres in diameter. If larger than 10 metres it is

a circle.



## 2. Serpentine

The serpentine with several loops touching the long side of the arena consists of half circles connected by a straight line. When crossing the centre line, the horse should be parallel to the short side (a). Depending on the size of the half circles, the straight connection varies in length. Serpentines with one loop on the long side of the arena are executed with 5 metres or 10 metres distance from the track (b). Serpentines around the centre line are executed between the quarter lines (c).



#### 3. Figure of eight

This figure consists of 2 voltes or circles of equal size as prescribed in the test, joined at the centre of the 8. The athlete should make his horse straight an instant before changing direction at the centre of the figure.



## Article 311 – Leg-yielding

- 1. The aim of leg-yielding: To demonstrate the suppleness and lateral responsiveness of the horse.
- 2. Leg-yielding is performed in working trot in FEI competitions. The horse is almost straight, except for a slight flexion at the poll away from the direction in which it moves, so that the athlete is just able to see the eyebrow and nostril on the inside. The inside legs pass and cross in front of the outside legs.

Leg-yielding should be included in the training of the horse before it is ready for collected work. Later on, together with the more advanced shoulder-in movement, it is the best means of making a horse supple, loose and unconstrained for the benefit of the freedom, elasticity and regularity of its paces and the harmony, lightness and ease of its movements.

Leg yielding can be performed "on the diagonal" in which case the horse should be as nearly as possible parallel to the long sides of the arena, although the forehand should be slightly in advance of the hindquarters. It can also be performed "along the wall" in which case the horse should be at an angle of about 35 degrees to the direction in which he is moving.

#### Article 312 – Lateral Movements

- 1. The main aim of lateral movements except leg-yielding is to develop and increase the engagement of the hindquarters and thereby also the collection.
- 2. In all lateral movements shoulder-in, travers, renvers, and half-pass, the horse is slightly bent and moves on different tracks.
- 3. The bend or flexion must never be exaggerated so that it does not impair the rhythm, the balance and fluency of the movement.
- 4. In the lateral movements, the pace should remain free and regular, maintaining a constant impulsion, yet it must be supple, cadenced and balanced. The impulsion is often lost because of the athlete's preoccupation with bending the horse and pushing it sideways.
- 5. **Shoulder-in.** The shoulder-in is performed in collected trot. The horse is ridden with a slight but uniform bend around the inside leg of the competitor maintaining engagement and cadence and a constant angle of approx. 30 degrees. The horse's inside foreleg passes and crosses in front of the outside foreleg; the inside hind leg steps forward under the horse's body weight following the same track of the outside foreleg, with the lowering of the inside hip. The horse is bent away from the direction in which it is moving.
- 6. **Travers**. Travers can be performed in collected trot or collected canter. The horse is slightly bent round the inside leg of the competitor but with a greater degree of

bend than in shoulder-in. A constant angle of approximately 35 degrees should be shown (from the front and from behind the observer sees 4 tracks). The forehand remains on the track and the quarters are moved inwards. The horse's outside legs pass and cross in front of the inside legs. The horse is bent in the direction in which it is moving.

To start the travers, the quarters must leave the track or, after a corner or circle, are not brought back onto the track. At the end of the travers, the quarters are brought back on the track (without any counter-flexion of the poll/neck) as for finishing a circle.

Aims of travers: To show a fluent collected trot movement on a straight line and a correct bend. Front and hind legs are crossing, balance and cadence are maintained.

7. **Renvers**. Renvers is the inverse movement in relation to travers. The hindquarters remain on the track while the forehand is moved inward. To finish the renvers the forehand is aligned with the quarters on the track. Otherwise, the same principles and conditions that apply to the travers are applicable to the renvers.

The horse is slightly bent around the inside leg of the competitor. The horse's outside legs pass and cross in front of the inside legs. The horse is bent in the direction in which it is moving.

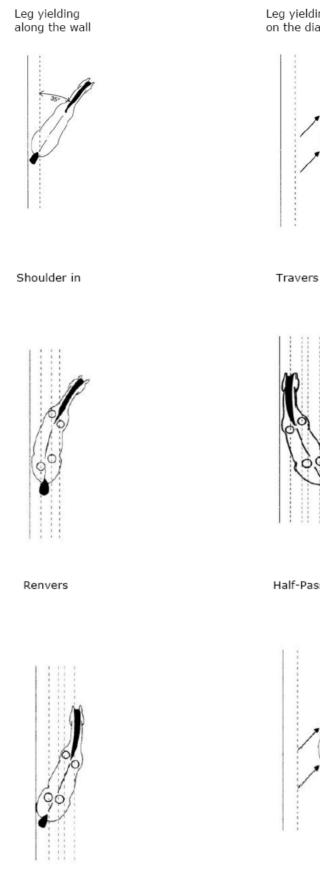
Aims of renvers: To show a fluent collected trot movement on a straight line with a greater degree of bend than in shoulder-in. Fore and hind legs cross, balance and cadence are maintained.

8. **Half-pass.** Half-pass is a variation of travers, executed on a diagonal line instead of along the wall. It can be performed in collected trot (and in passage in a freestyle) or collected canter. The horse should be slightly bent around the inside leg of the competitor and in the direction in which it is moving. The horse should maintain the same cadence and balance throughout the whole movement. In order to give more freedom and mobility to the shoulders, it is of great importance that the impulsion be maintained, especially the engagement of the inside hind leg. The horse's body is nearly parallel to the long side of the arena with the forehand slightly in advance of the hindquarters.

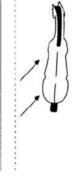
In the trot, the outside legs pass and cross in front of the inside legs. In the canter, the movement is performed in a series of forward/sideways strides.

Aims of half-pass in trot: To show a fluent collected trot movement on a diagonal line with a greater degree of bend than in shoulder-in. Fore and hind legs cross, balance and cadence are maintained.

Aims of the half-pass in canter: To both demonstrate and develop the collection and suppleness of the canter by moving fluently forwards and sideways without any loss of rhythm, balance or softness and submission to the bend.

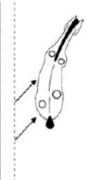


Leg yielding on the diagonal





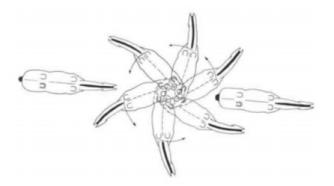
Half-Pass



## Article 313 – The Pirouette, the Half-pirouette and Turn on the

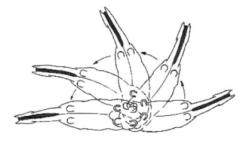
- 1. The Pirouette (half-pirouette) is a turn of 360 degrees (180 degrees) executed on 2 tracks, with a radius equal to the length of the horse and the forehand moving around the haunches.
- 2. Pirouettes (half-pirouettes) are usually carried out at collected walk or canter, but can also be executed at piaffe.
- 3. At the pirouette (half-pirouette) the forefeet and the outside hind foot move around the inside hind foot. The inside hind leg describes a circle as small as possible.
- 4. At whatever pace the pirouette (half-pirouette) is executed, the horse, slightly bent in the direction in which it is turning, remaining "on the bit" with a light contact, turning smoothly around, and maintaining sequence and timing of footfalls of that pace. The poll remains the highest point during the entire movement.
- 5. During the pirouettes (half-pirouettes), the horse should maintain its activity (walk also included) and never move backwards or sideways.
- 6. In executing the pirouette or the half-pirouette in canter, the athlete should maintain lightness of the horse while accentuating the collection. The horse's hindquarters are well engaged and lowered and show a good flexion of the joints. An integral part of the movement is the quality of the canter strides before and after the pirouette. The strides should show an increased activity and collection before the pirouette and the balance should be maintained at the end of the pirouette.

Aims of the pirouette and half-pirouette in canter: To demonstrate the willingness of the horse to turn around the inside hind leg on a small radius, slightly bent in the direction of the turn while maintaining the activity and the clarity of the canter, the straightness and the balance before and after the figure and clear canter strides during the turn. In the pirouette or half-pirouette in canter, the judges should be able to recognize a real canter stride although the feet of the diagonal – inside hind leg, outside front leg – are not touching the ground simultaneously.



Pirouette and half-pirouette in canter

- 7. The quality of the pirouettes (half-pirouettes) is judged according to the suppleness, lightness and regularity, and the precision and smoothness of the entrance and exit. Pirouettes (half-pirouettes) in canter should be executed in 6 to 8 strides full pirouettes and 3 to 4 strides half-pirouette.
- 8. Half-pirouettes in walk (180 degrees) are executed out of collected walk with the collection being maintained throughout the exercise. When the horse exits the half-pirouette it returns to the initial track without crossing the hind legs.



Half-pirouette in walk

- 9. Turn on the haunches from walk. For younger horses that are still not able to show collected walk the "turn on the haunches" is an exercise to prepare the horse for collection. The "turn on the haunches" is executed out of medium walk prepared by half halts to shorten the steps a little and to improve the ability to bend the joints of the hindquarters. The horse does not halt before or after the turn. The "turn on the haunches" can be executed on a larger radius (approx. ½m) than the pirouette in walk, but the demands of the training scale concerning rhythm, contact, activity and straightness are the same.
- 10. Turn on the haunches from halt to halt (180 degrees). To maintain the forward tendency of the movement one or 2 forward steps at the beginning of the turn are permitted. The same criteria apply as for the turn on the haunches from walk.

#### Article 314 – The Passage

1. Passage is a measured, very collected, elevated and cadenced trot. It is characterised by a pronounced engagement of the hindquarters, a more

accentuated flexion of the knees and hocks, and the graceful elasticity of the movement. Each diagonal pair of legs is raised and returned to the ground alternately, with cadence and a prolonged suspension.

- 2. In principle, the height of the toe of the raised forefoot should be level with the middle of the cannon bone of the other supporting foreleg. The toe of the raised hind foot should be slightly above the fetlock joint of the other supporting hind leg.
- 3. The neck should be raised and gracefully arched with the poll as the highest point and the nose line close to the vertical. The horse should remain light, and soft "on the bit" without altering the cadence. The impulsion remains lively and pronounced.
- 4. Irregular steps with the hind or front legs, swinging the forehand or the hindquarters from one side to the other, as well as jerky movements of the forelegs or the hind legs, dragging the hind legs or double beat in the moment of suspension are serious faults. Aim of passage is: To demonstrate the highest degree of collection, cadence and suspension in the trot.

## Article 315 – The Piaffe

- 1. Piaffe is a highly collected, cadenced, elevated diagonal movement giving the impression of remaining in place. The horse's back is supple and elastic. The hindquarters are lowered; the haunches with active hocks are well engaged, giving great freedom, lightness and mobility to the shoulders and forehand. Each diagonal pair of legs is raised and returned to the ground alternately, with spring and an even cadence.
  - 1.1 In principle, the height of the toe of the raised forefoot should be level with the middle of the cannon bone of the other supporting foreleg. The toe of the raised hind foot should reach just above the fetlock joint of the other supporting hind leg.
  - 1.2 The neck should be raised and gracefully arched, with the poll as the highest point. The horse should remain "on the bit" with a supple poll, maintaining a soft contact. The body of the horse should move in a supple, cadenced and harmonious movement.
  - 1.3 Piaffe must always be animated by a lively impulsion and characterised by perfect balance. While giving the impression of remaining in place, there may be a visible inclination to advance, this being displayed by the horse's eager acceptance to move forward as soon as it is asked.
  - 1.4 Moving even slightly backwards, irregular or jerky steps with the hind or front legs, no clear diagonal steps, crossing either the fore or hind legs, or swinging either the forehand or the hindquarters from one side to the other, getting wide behind or in front, moving too much forward or double-beat rhythm are serious faults.

Aims of piaffe are: To demonstrate the highest degree of collection while giving the impression of remaining in place.

### Article 316 – The Impulsion / the Submission

- 1. Impulsion is the term used to describe the transmission of an eager and energetic, yet controlled, propulsive energy generated from the hind quarters into the athletic movement of the horse. Its ultimate expression can be shown only through the horse's soft and swinging back guided by a gentle contact with the competitor's hand.
  - 1.1 Speed, of itself, has little to do with impulsion; the result is more often a flattening of the paces. A visible characteristic is a more pronounced articulation of the hind leg, in a continuous rather than staccato action. The hock, as the hind foot leaves the ground, should first move forward rather than being pulled upwards, but certainly not backwards. A prime ingredient of impulsion is the time the horse spends in the air rather than on the ground. Impulsion is, therefore, seen only in those paces that have a period of suspension.
  - 1.2 Impulsion is a precondition for a good collection in trot and canter. If there is no impulsion, then there is nothing to collect.
- 2. Submission does not mean subordination, but an obedience revealing its presence by a constant attention, willingness and confidence in the whole behaviour of the horse as well as by the harmony, lightness and ease it is displaying in the execution of the different movements.

The degree of the submission is also demonstrated by the way the horse accepts the bit, with a light and soft contact and a supple poll. Resistance to or evasion of the competitor's hand, being either "above the bit" or "behind the bit" demonstrate lack of submission. The main contact with the horse's mouth must be through the snaffle bit.

- 2.1 Putting out the tongue, keeping it above the bit or drawing it up altogether, as well as grinding the teeth or agitation of the tail, are mostly signs of nervousness, tension or resistance on the part of the horse and must be taken into account by the judges in their marks for every movement concerned, as well as in the collective mark for "submission".
- 2.2 The first thought when considering submission is willingness, that the horse understands what is being asked of it and is confident enough in the competitor to react to the aids without fear or tension.
- 2.3 The horse's straightness, uphill tendency and balance enable it to stay in front of the competitor's legs and go forward into an accepting and self-carrying contact with the bit. This is what really produces the picture of harmony and lightness. The fulfilling of the main requirements/movements of a Dressage test is a main criterion of submission.

## Article 317 – The Collection

The aim of the collection of the horse is:

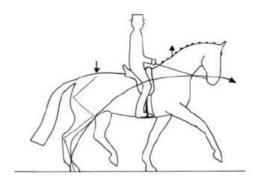
- a) To further develop and improve the balance and equilibrium of the horse, which has been more or less displaced by the additional weight of the competitor.
- b) To develop and increase the horse's ability to lower and engage its hindquarters for the benefit of the lightness and mobility of its forehand.
- c) To add to the "ease and carriage" of the horse and to make it more pleasurable to ride. Collection is developed through the use of half-halts and the use of lateral movements shoulder-in, travers, renvers and half pass.

Collection is improved and achieved by the use of the seat and legs and containing hands to engage the hind legs. The joints bend and are supple so that the hind legs can step forward under the horse's body.

However, the hind legs should not be engaged so far forward under the horse, that they shorten the base of support excessively, thereby impeding the movement. In such a case, the line of the back would be lengthened and raised too much in relation to the supporting base of the legs, the stability would be impaired and the horse would have difficulty in finding a harmonious and correct balance.

On the other hand, a horse with an over-long base of support, which is unable or unwilling to engage its hind legs forward under its body, will never achieve acceptable collection, characterised by "ease and carriage" as well as a lively impulsion originating from the activity of the hindquarters.

The position of the head and neck of a horse at the collected paces is naturally dependent on the stage of training and, to some degree, on its conformation. It is distinguished by the neck being raised without restraint, forming a harmonious curve from the withers to the poll, which is the highest point, with the nose slightly in front of the vertical. At the moment the competitor applies his aids to obtain a momentary and passing collecting effect, the head may become more or less vertical. The arch of the neck is directly related to the degree of collection.



### Article 318 – The Position and Aids of the Athlete

- 1. All the movements should be obtained with imperceptible aids and without apparent effort of the athlete. The athlete should be well-balanced, elastic, sitting deep in the centre of the saddle, smoothly absorbing the movement of the horse with his loins and hips, supple thighs with the legs steady and stretched well down. The heels should be the lowest point. The upper part of the body should be tall and supple. The contact should be independent from the competitor's seat. The hands should be carried steadily close together, with the thumb as the highest point and a straight line from the supple elbow through the hand to the horse's mouth. The elbows should be close to the body. All of these criteria enable the athlete to follow the movements of the horse smoothly and freely.
- 2. The effectiveness of the athlete's aids determines the precise fulfilment of the required movements of the tests. There shall always be the impression of a harmonious co-operation between horse and athlete.
- Riding with both hands is obligatory at FEI Dressage Events. When leaving the arena at a walk on long rein, after having finished the test the athlete may, at his own discretion, ride with only one hand. For Freestyle tests, see also Directives for Judges – Freestyle tests and Directives for assessing the degree of difficulty in a Freestyle test.
- 4. The use of the voice or clicking the tongue repeatedly is a serious fault.

# CHAPTER II GENERAL DRESSAGE RULES

#### Article 319 - Introduction

- 1. The rules following must be read in conjunction with the General Rules of the Federation. In case of any contradiction between the General Rules and the rules following hereafter, these specific rules for Dressage competitions shall apply.
- 2. A competitor is ultimately responsible for knowing the rules and complying with them. The appointment of a steward or official, whether or not provided for in these rules, does not absolve the competitor from such responsibility.

#### Article 320 – Definition of Dressage Competitions

A Dressage competition is composed of one or more contests between individual mounted competitors or designated teams of mounted competitors, performing specified Dressage tests.

The term "bell" includes any device used to signal to a competitor.

- 1. Dressage competitions fall into two categories:
  - 1.1. Those International competitions held under the rules of the Federation Equestre Internationale (FEI)
  - 1.2. Those held under the rules of the National Federation (NF).
- 2 In the case of Hong Kong, the Hong Kong Equestrian Federation (HKEF) is the NF. The Dressage Rules following apply to all competitions held in Hong Kong under HKEF rules, except those staged in connection with the FEI in which FEI rules will apply.

#### Article 321 – Standards and Tests

- 1. All tests issued by British Eventing (BE), British Dressage (BD), Equestrian Australia (EA) and the FEI from time to time are adopted for use by the HKEF.
- 2. Any other national test may be used with the approval of the Committee.
- 3. An "Open" competition shall be open to all horses regardless of their grading.
- 4. In any competition, the test to be ridden shall follow the standards shown above and every test sheet issued to competitors shall state the level of the test concerned.

5. Freestyle Tests

BD and EA Freestyle tests of all levels up to and including Medium level are approved for use in events run under HKEF Rules. However, these competitions do not carry grading points.

## Article 322 – Conditions of Participation

- 1. Dressage competitions are open to all ages of competitor unless otherwise stated on the schedule.
- 2. Para-equestrian athletes. Para-equestrian athletes may compete in dressage competitions using compensatory aids according to their degree of disability as defined by their FEI or NPC PE ID card.
- 3. Separate competitions for men and women are not allowed.
- 4. Horses and ponies of 4 years or older are eligible to compete. The age is counted from 1<sup>st</sup> January of the year of birth (1<sup>st</sup> August for Southern Hemisphere).
- 5. Schooling of Horses/ Ponies.

On the day of event, no one other than the Athlete may ride the Horse/ Pony he/she is entered with, after arrival on the show ground and official opening of the stables according to the HKEF approved schedule as well as for the duration of the whole Event. The duration of the whole Event means from 6.00 a.m. on wards of the day of Event until the last competition has completed. Under no circumstances is it permitted to school the Horses/ Ponies in other areas than the official training arenas. No schooling is permitted in any area which cannot be supervised by the Stewards. Horses/ Ponies may not leave the stables, the Competition area, or the areas supervised by Stewards for any purpose unless authorized by the OC or HKEF appointed Officials of the Event or a Veterinarian acting in the interest of the health and welfare of the Horses/Ponies.

#### Article 323 – Commanded Tests

In any competition, except when forming part of a "Combined Event", the Organiser may allow the test to be commanded. No test may be commanded in a Finals or Championship competition class. Tests held under FEI rules may not be commanded. Where a commander is allowed, it is the responsibility of the competitor to ensure that only the printed text of the test, or extracts thereof, are read out. Failure to observe this rule may lead to the elimination of the competitor concerned.

#### Article 324 – Grading of Horses

1. See General Rules, Chapter VI, Rules 128.

## **Article 325 – Graded Competitions**

1. Dressage competitions are graded in accordance with the General Rules of the HKEF, provided that Organisers may include competitions with Freestyle tests, Pairs tests or other unofficial tests which will be ungraded (325.2).

## Article 326 – Placing of Competitors (see also Art 344 - Classification)

- 1. In any competition, the competitor who has been awarded the largest aggregate number of marks by the Judges is placed first; the one with the second largest number of marks is placed second and so on.
- 2. At least 25% of starters shall be entitled to rosettes or prizes,
- 3. Where two or more prize winners have been awarded the same aggregate number of marks by the Judges, the winner shall be the entry which has the larger aggregate number of collective marks
- 4. In the case of absolute equality the placings remain tied.

# CHAPTER III - ARENAS

## Article 327 - Arenas

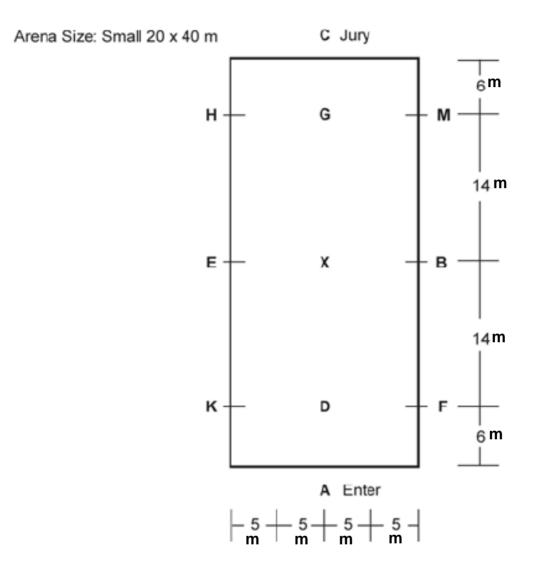
- 1. All tests are ridden individually within an area of either 60m x 20m or 40m x 20m according to the instructions on the Test sheet. When the smaller arena is used, the markers R,S,V,P,L and I are not required.
- Arenas should be set out to the dimensions shown in the diagrams in Figs. 6 and Fig. 7 with the markers positioned as indicated. The arena should be as level as possible and separated from the public by a distance all round of at least 10 metres. Roping should be used when necessary to prevent intrusion.
- 3. The arena may be marked in any of the following ways:-
  - 3.1. by a continuous low white fence, about 30cm high. The part of the fence at A should be easy to remove to allow competitors access to the arena. The width of the entrance must be at least 2 metres. The construction of the fence should be such as to prevent the Horse's hooves from being caught. The rails may not contain any metal components.
  - 3.2. by intermittent white boards placed at each corner and opposite each marker.
  - 3.3. by a white line painted on the ground, in which case white posts I.0m in height should be placed at the corners of the arena.
- 4. Letters. The letters outside should be placed about 0.5m from the arena enclosure and clearly marked. A marker should be placed on the fence itself level with and in addition to the letter concerned.
- 5. Whenever possible, Organisers should appoint a steward to act as gatekeeper to the arena. When there is no gatekeeper the A marker must be placed far enough back to allow the horses to enter the arena on a straight track.

When the boundaries of the arena are marked by painted lines, sticks 2.0m apart should be placed to mark the entrance.

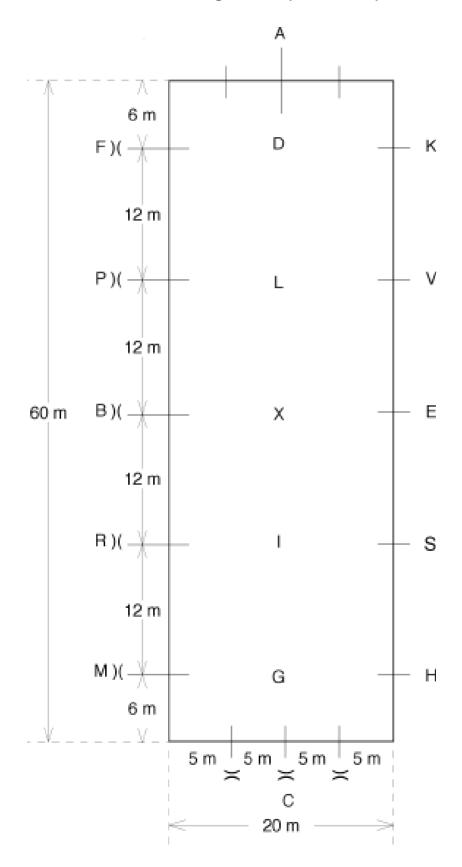
- 6. Irrespective of the arena being a grass or a sand arena, it is desirable to make a pause of 5-10 minutes after every 6 or 7 competitors, to reconstruct the surface of the ground.
- 7. It is the responsibility of the Judges to satisfy themselves that the arena is satisfactory; they may have it modified at their discretion.

#### Article 328 – Practice Arena

1. Sufficient level ground and if possible an arena, must be provided as a practice area for athletes taking part in the competition.



Dressage Arena (20m X 60m)



# **CHAPTER IV - EQUIPMENT**

#### Article 329 - Saddlery

- The following are compulsory: Dressage saddle, which must be close to the horse, and have long, near vertical flaps. For low level tests (preliminary and novice) general purpose saddles are permitted. Snaffle bridle. A double bridle may be used where permitted in the test instructions. (See illustrations for permitted bits and nosebands). A cavesson or any other noseband may never be as tightly fixed so as to harm the horse. Padding is allowed on bridles. No saddle covers are allowed.
- 2. **Bits**. Bits must be made of metal or rigid plastic and may be covered with rubber or latex. The mimimum diameter for snaffle bits is 14mm (for Ponies 10mm).
  - 2.1 Double bridles: The lever arm of a curb bit is limited to 10cm (length below the mouthpiece). The upper cheek must not be longer than the lower cheek. If the curb has a sliding mouthpiece, the lever arm of the curb bit below the mouthpiece must not measure more than 10cm when the mouthpiece is at the uppermost position.
  - 2.2 The diameter of the mouthpiece of the bridoon and/or curb must be such so as not to hurt the horse. Mimimum diameter of mouthpiece to be 12mm for curb bit and 10mm for bridoon bit.
  - 2.3 Curb chain. This can be made of metal, leather or rubber.
- 3. **Whips**. Whips may be carried unless specifically prohibited by the competition schedule. The use of only one whip of total maximum overall length of 1.2metres is permitted.
  - 3.1 A whip may not be picked up if dropped during a test;
  - 3.2. it may be carried in either hand but in the rein hand when saluting;
  - 3.3 if misused, in the opinion of the judges, the athlete will be eliminated.
  - 3.4 When barred in competition a whip with a maximum length of 1.2m may be used in the warm-up area but must be dropped before entering the space around the Competition Area. Only athletes or grooms when riding, walking, or lunging a horse are allowed to carry a whip on the show grounds. Other parties are allowed to carry a whip provided it is not in connection with the training of the horse
- 4. **Gadgets**. Martingales, any kind of gadget (such as bearing, side, running or balancing reins, nasal strips etc), any kind of boots or bandages and any form of blinkers, including ear-muffs and any others, are, under penalty of elimination,

strictly forbidden. Notwithstanding the above, noise cancelling ear muffs are allowed at prize-giving ceremonies.

- 5. **Decorations**. Any decoration of the horse with extravagant items, such as ribbons or flowers, etc. in the tail, etc., is strictly forbidden. Normal plaiting of the horse's mane and tail, however, is allowed.
- 6. **False tails/tail extensions** are permitted only with the prior permission of the HKEF Committee. Requests for such permission should be directed to the HKEF accompanied by photographs and a veterinary certificate. False tails may not contain any metal parts, except for hooks and eyelets.
- 7. **Protective fly hoods** are permitted for outdoor events. However, these will be systematically checked by Stewards at the end of the test to ensure that nothing prohibited has been added to or is covered by the fly hoods. The fly hoods should be discreet and should not cover the horse's eyes.

Anti-fly impregnated browbands and fly repellent discs are permitted while warming-up and during a test.

- 8. Checking of Saddlery. A Steward must be appointed to check the saddlery and equipment of each horse and rider immediately after it leaves the arena. Any discrepancy will be reported to the Judge at C and result in immediate elimination if confirmed. The checking of the bridle must be done with the greatest caution as some horses are very touchy and sensitive about their mouths. The Steward must use disposable surgical/protective gloves when checking the bridle (one pair of gloves per horse).
- 9. Warming-up and training arenas. Paragraphs 1 4 above are valid also for warming-up areas. However, boots and bandages are allowed.

Single direct side reins or double sliding side reins (triangle/dreiek zugel) are permitted when lunging. No other gadgets such as "lungie-bungies" may be used. Lunging is allowed with one lunge line only. Whilst lunging, the athlete may be mounted and may hold the reins.

## Captions to plates showing permitted bits

## Various double bridle bits

Bridoons:

- 1. Loose ring bridoon bit
- 2 a,b,c Bridoon bit with jointed mouthpiece where the middle piece should be rounded. Egg-butt sides also allowed.
- 2 d Bridoon bit with rotating middle piece
- 2 e Bridoon Rotary bit with rotating middle piece
- 3. Egg-butt bridoon bit
- 4. Bridoon bit with hanging cheeks

Curbs :

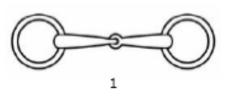
- 5. Half-moon curb bits
- 6.+7. Curb bit with straight cheeks and port
- 8. Curb bit with port and sliding mouthpiece (Weymouth) A curb bit with rotating lever arm is also allowed
- 9. Variation of bits No 6, 7 & 8
- 10. Curb bit with S-curved cheeks
- 11. Curb chain (metal or leather or a combination)
- 12. Lip strap
- 13. Leather cover for curb chain
- 14. Rubber cover for curb chain

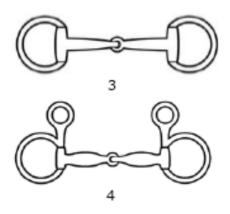
## Various snaffle bridle bits

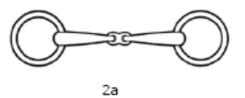
- 1. Loose ring snaffle
- 2.a e Snaffle with jointed mouthpiece where middle piece should be rounded
- 3. Egg-butt snaffle
- 4. Racing snaffle D-ring
- 5. Egg-butt snaffle with cheeks
- 6. Loose ring snaffle with cheeks (Fulmer)
- 7. Snaffle with upper cheeks only
- 8. Hanging cheek snaffle
- 9. Straight bar snaffle. Permitted also with mullen mouth and with egg-butt rings.
- 10. Snaffle with rotating mouthpiece
- 11. Snaffle with rotating middle piece
- 12. Rotary bit with rotating middle piece

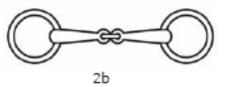
Various double bridle bits:

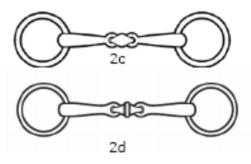
Bridoons:

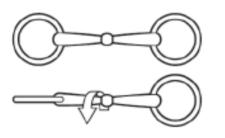


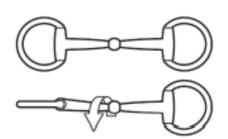


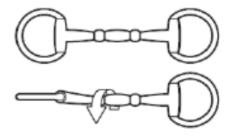


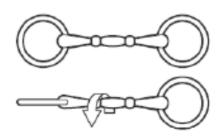










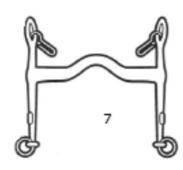


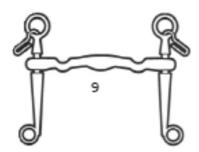
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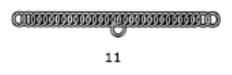
Curbs:



Note: Curb chain hooks must not be fixed.

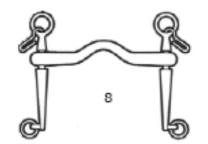


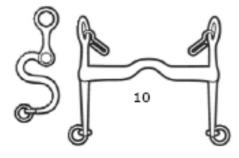


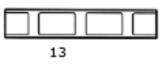


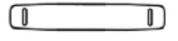






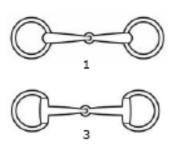


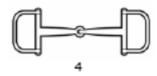


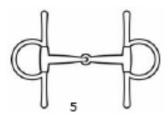


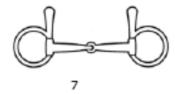
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# Various snaffle bridle bits

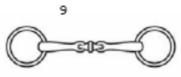




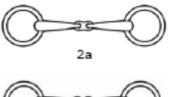




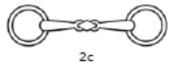


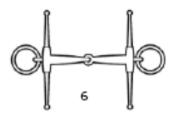


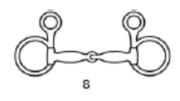
11

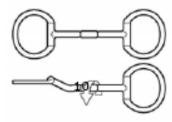




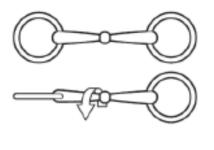


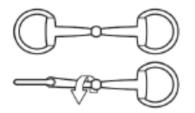


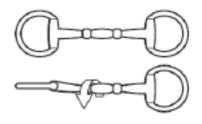




## 12. Rotary bit

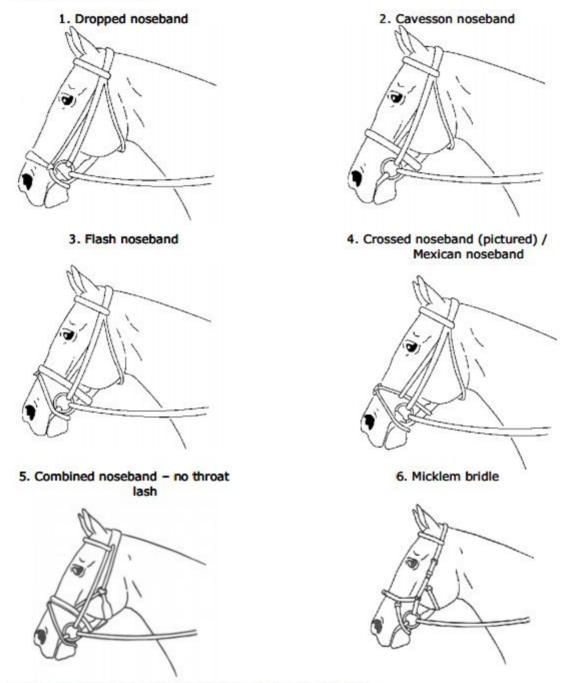








#### Permitted nosebands

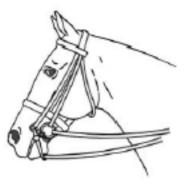


1, 3, 4 and 6 are not permitted when a double bridle is used.

- 5, when used as a double bridle, the lower strap of the nose band is not allowed.
- 1, 3, 4 and 6 are not permitted when a double bridle is used.

5, when used as a double bridle, the lower strap of the noseband is not allowed.

Example of Double bridle with cavesson noseband bridoon bit and curb chain



## Example of permitted Dressage saddle



Double sliding side reins (triangle/dreieck zügel)



## Article 330 - Dress

- 1. Protective headgear (as defined by General Regulations) must be worn at all times when mounted
- 2. Civilians: The following is compulsory: dark jacket, white or off-white breeches, white stock, white or light coloured gloves, long dark boots or boots and matching chaps, dark coloured headgear. If the weather conditions are such that the President of the Ground Jury permits the absence of jackets, the athletes must be tidily presented with short- or long-sleeved white shirt and white stock or tie.
- 3. Military/police: may wear civil or service dress. Rules for protective headgear must be followed.
- 4. **Spurs**. Spurs may be worn at all levels but are compulsory whenever a double bridle is used.
  - 4.1 Spurs must be made of metal and there must be a shank pointing directly back from the centre of the spur. The arms of the spur must be smooth. If rowels are used they must be free to rotate. Metal spurs with round hard plastic knobs are allowed ("Impuls" spur). "Dummy" spurs with no shank are also allowed.
- 5. **Earphones**. Earphones and/or any other electronic communication devices are strictly prohibited whilst competing and such usage is penalized by elimination. Earphones or similar devices are permitted during warming-up.

#### Article 331 - Weight

No limit/restrictions.

## Article 332 – Incorrect Equipment / Dress

1. Anyone entering the arena with incorrect saddlery, dress or equipment will be eliminated from the class. However, at the discretion of the Ground Jury, the competitor may be allowed to enter the arena again later, properly equipped and perform the test, Hors Concours.

# CHAPTER V RIDING THE TEST

## Article 333 – Duration of Judging the Test

The test is judged from the time the competitor enters the arena at A until he leaves the arena at A.

### Article 334 - Entering and Leaving the Arena

- 1. Competitors must enter the arena mounted within 45 seconds of the bell being sounded to indicate the start of the test. Failure to enter the arena within 90 seconds will incur elimination.
- 2. No horse either ridden or led may enter the arena except when actually competing, on penalty of elimination.
- 3. All competitors must leave the arena at A after performing a test. A horse is eliminated if, during a test, it leaves the arena with all 4 feet when the surround is a continuous low fence. Where the surround is marked by separated boards or a line only, it is left to the discretion of the Judges as to the marks to be deducted.

Any horse leaving the arena not under control will be eliminated and for this purpose the test begins when the horse enters the arena at A and finishes when it leaves the arena at A, after completing the test.

4. Should the construction of the arena make it impossible for the competitor to ride round the outside, before the Judge's signal to enter is sounded, he may, on the instruction of the Organiser and/or Judge, ride inside the arena.

## Article 335 – Execution of Tests

- 1. All movements contained in a Test must follow in the order laid down in the Test. In a movement which must be carried out at a certain point of the arena, it is at the moment when the athlete's body is above this point that the movement must be executed.
- 2. All tests will be ridden with both hands except where stated otherwise on the test sheets.
- 3. In all tests up to and including Elementary transitions may be progressive.
- 4. All tests (including Freestyles) begin with the entry at A and end after the salute at the end of the test, as soon as the horse moves forward. Any incidents before the beginning or after the end of the test have no effect on the marks. The competitor should leave the arena in the manner that is prescribed in the text of the test. If a horse leaves the arena with all 4 feet after the salute at the end of the test, but before the time of the exit at A, it will receive marks for the movements of the test up to that point but will be eliminated from the competition.
- 5. Any outside intervention by voice, signs etc., is considered as assistance to a athlete or to his horse. An athlete or horse receiving assistance must be eliminated.
- 6. At the beginning of a Freestyle test a halt for the salute is compulsory. The test time will start after the athlete moves forward after the halt.

#### Article 336 – Reins in One Hand During Test (when instructed)

The reins or whip, if carried, should be held in the outside hand, with the inside arm held down by the athlete's side.

#### Article 337 - Salute

Unless stated otherwise in the test, each competitor must salute the judge at the start and end of the test.

- 1. The reins must be held in one hand when saluting, together with whip, if carried.
- 2. Civilian athlete performs a salute by holding his/her free arm straight down to the side and bowing the head.
- 4. Athletes in uniform give a military salute.

# CHAPTER VI INTERPRETATION OF TEST INSTRUCTIONS

#### Article 338 – Interpretation of Test Instructions

- A athlete moving along the outer track will continue along it on the same rein, without alteration of pace or manner of riding until instructed to do otherwise. If required to leave the track and return to it (e.g. when circling) the athlete will continue along the track in the same manner of going as he left it and on the same rein unless instructed to do otherwise.
- 2. For a "simple turn", to right or left, the athlete will change direction through 90 degrees by riding a quarter of a small circle (as at a corner) beginning before reaching the marker and finishing on a line at right angles to the track and directly opposite the marker.
- 3. For "track right" or "track left" at any marker the athlete will turn in a similar manner but will finish on the outer track. (N.B. the horse must not begin by swinging out in the opposite direction and then crossing the line of approach).
- 4. For a "move diagonally across the arena" for a change of rein, say from M to K, the turn from the track should begin at M and the turn into the track should be completed at K. The course between M and K should be in a straight line.
- 5. For all BE, BD and EA Elementary tests and below, regardless of the instructions on the test sheets, all trot work may be executed sitting or rising at the discretion of the athlete.
- 6. For all BE, BD and EA tests higher than Elementary, all trot work must be executed as instructed on the test sheet. Failing instruction, all trot work is executed sitting.
- 7. In all other tests trot work must be executed as instructed on the test sheet. Failing instruction, all trot work is performed sitting.
- 8. For a "Change of Pace" at a given marker, the horse should make the first step in the new pace as the athlete passes the marker. (it follows that when the transitions are progressive, they must begin a few paces before reaching the marker).
- 9. A "Simple Change" is always through the walk. Up to and including Elementary standard the downwards transition may be progressive i.e., canter, trot, walk but the upwards transition should be direct, walk to canter. At Medium standard both transitions must be direct, canter, walk, canter.

If walk steps are not required the movement should be described as a "Change of Leg/Lead through the Trot" and NOT as a simple change.

10. "Give and Retake the Reins" or "Stroke the Horse's Neck" requires the athlete to

push both hands forward up the horse's neck towards the poll, until the reins are quite loose and then gradually take up the contact again.

- 11. "Leave the Arena at A", after the final salute the competitor should move off from the halt at the specified pace, turn right or left and proceed directly to A.
- 12. "Free Walk on a Long Rein" is a pace in which the horse is allowed complete freedom to lower and stretch out his head and neck while the athlete maintains a light contact through the rein. The horse takes long strides and is not allowed to become lazy.
- 13. "Diagonals" the left-hind and right-fore legs of the horse are known as the right diagonal; the right-hind and left-fore legs are known as the left diagonal. An athlete is said to be riding on the right diagonal when, at the rising trot, his seat returns to the saddle as the horse's left-hind and right-fore come to the ground and vice versa. The athlete should change the diagonal at every change of direction. It is optional whether he rides on the inside or the outside diagonal but must conform to the same one throughout.
- 14. When changing the rein at the rising trot from one quarter marker to another, the diagonal may be changed at the beginning or the end of the movement, especially at the extended trot, so as not to interrupt the cadence.

Article 339 - Blank

# CHAPTER VII JUDGING OF DRESSAGE TESTS

#### Article 340 - Execution of Tests

All movements must follow in the order laid down in the test.

- 1. **Sound of bell**. After the sound of the bell, the competitor should enter the arena at A within 45 seconds. During Freestyle tests, in case of technical failure or delay in start of the music, the Judge at C can interrupt the count and re-continue after the problem has been resolved. The Judge at C is responsible for the bell and clock/time.
- 2. Salute. Competitors must take the reins in one hand at the salute.
- 3. Error of course. When a competitor makes an "error of course" (takes the wrong turn, omits a movement, etc.) the Judge at C warns him, by sounding the bell. The Judge at C shows him, if necessary, the point at which he must take up the test again and the next movement to be executed, then leaves him to continue by himself. However, in some cases when, although the competitor makes an "error of course", the sounding of the bell would unnecessarily impede the fluency of the performance for instance if the competitor makes a transition from medium trot to collected walk at V instead of at K, or, cantering up the centre line from A, makes a pirouette at D instead of at L it is up to the Judge at C to decide whether to sound the bell or not. However, if the bell is not sounded at an error of course and the test requires the same movement to be repeated and the competitor again makes the same error, the competitor is only penalised once.

The decision as to whether or not an error of course has been made will be that of the Judge at C. The other Judges' scores will be adapted accordingly.

- 4. Error of test/execution. When an competitor makes an "error of the execution of the test" (trots rising instead of sitting, at the salute does not take the reins in one hand, etc.) he must be penalised as for an "error of course". In principle a competitor is not allowed to repeat a movement of the test unless the President at C decides on an error of course (sounds the bell). If, however, the competitor has started the execution of a movement and attempts to do the same movement again, the judges must consider the first movement shown only and at the same time, penalise for an error of course.
- 5. **Unnoticed error**. If the Jury has not noted an error, the competitor has the benefit of the doubt.

#### 6. Penalties.

6.1 "Error of Course." Every "error of course", whether the bell is sounded or not, must be penalised, except as noted above.

First error - 2 Points Second error - 4 Points Third error - Elimination 45

- 6.2 **Other Errors.** All of the following are considered errors, and 2 points will be deducted per error, but they are not cumulative and will not result in elimination (including for Freestyle tests).
  - if barred, entering the dressage arena with whip;
  - not entering the arena within 45 seconds after the bell but within 90 seconds;
  - entering the arena before the sound of the bell;
  - in Freestyle tests, entering the arena after more than 20 seconds of music;
  - if the freestyle test is longer or shorter than stipulated on the test sheet, 0.5% will be deducted from the total artistic score

#### 7. Elimination.

- 7.1 **Lameness.** In a case of marked lameness, the Judge at C informs the competitor that he is eliminated. There is no appeal against this decision.
- 7.2 **Resistance**. Any resistance which prevents the continuation of the test for longer than 20 seconds is punished by elimination. However, resistance that may endanger competitor, horse, judges or the public will be eliminated for safety reasons earlier than within 20 seconds. This also applies to any resistance before the entering the dressage arena or when leaving the arena.
- 7.3 **Fall.** In the case of a fall of horse and/or competitor, the competitor will be eliminated.
- 7.4 Leaving Arena During Dressage Test. A horse leaving the arena completely, with all four feet, during a dressage competition between the time of entry and the time of exit at A, will be eliminated (notwithstanding arena construction Article 327.3)
- 7.5 **Outside assistance**. Any outside help by voice, signs, etc. is considered as illegal or unauthorized assistance to a competitor or to his horse. An competitor or horse receiving positive assistance must be eliminated.
- 7.6 **Bleeding.** If the Judge at C suspects fresh blood anywhere on the horse during the test, he will stop the horse to check for blood. If the horse shows fresh blood, it will be eliminated. The elimination is final. If the Judge through examination clarifies that the horse has no fresh blood, the horse may resume and finish its test.

If the horse is eliminated pursuant to the above, or if the horse is injured during the test and starts bleeding after finishing the test, it should be examined by an official veterinary surgeon prior to the next competition to determine if it is fit to continue in the event the following day. The decision of the veterinarian is not subject to appeal.

#### 7.7 Other reasons for Elimination:

- horse and competitor combination not being able to fulfill the requirements of the level.
- the performance is against the welfare of the horse.
- horse and competitor combination not entering the competition arena within ninety (90) seconds after the bell. Except where a valid reason thereto has been informed to the Judge at C, valid reason may be losing a shoe etc)
- when barred, carrying the whip longer than three (3) movements.
- 8. **Penalty Points**. The penalty points are deducted on each Judge's sheet from the total points obtained by the competitor.
- 9. Execution of movement at certain point. In a movement that must be carried out at a certain point of the arena, it should be done at the moment when the competitor's body is above this point, except in transitions where the horse approaches the letter from a diagonal or perpendicular to the point where the letters are positioned. In this case, the transitions must be done when the horse's nose reaches the track at the letter so that the horse is straight in the transition. This includes the execution of flying changes.
- 10. **Beginning/End of test**. A test begins with the entry at A and ends after the salute at the end of the test, as soon as the horse moves forward. Any incidents before the beginning or after the end of the test have no effect on the marks. The competitor should leave the arena in the way that is prescribed in the text of the test.

#### 11. Details to the Freestyle Test

A competitor must enter the arena within 20 seconds of the music starting.

At the beginning and end of a Freestyle Test a halt for the salute is compulsory. The test time will start after the competitor moves forward after the halt.

Refer further to FEI Guidelines for Assessing the Degree of Difficulty in a Freestyle Test.

#### Article 341 - Time

**Time of tests**. Only Freestyle tests are timed. The time shown on the other Judge's sheet is for information only.

#### Article 342 - Marking

- 1. All movements, and certain transitions from one to another, which have to be marked by the Judges, are numbered on the Judge's sheet.
- 2. They are marked from 0 to 10 by each Judge, 0 being the lowest and 10 the highest mark.

3. The scale of marks is as follows:

10	Excellent	7	Fairly good	4	Insufficient	1	Very bad
9	Very good	6	Satisfactory	3	Fairly bad	0	Not executed
8	Good	5	Sufficient	2	Bad		

"Not executed" means that practically nothing of the required movement has been performed.

All half marks from 0.5-9.5 may also be used both for movements and collective marks, at the discretion of the Judge.

- 4. Collective marks are awarded, after the competitor has finished his performance for:
  - 1) Paces.
  - 2) Impulsion.
  - 3) Submission.

4) The competitor's position and seat; correctness and effect of the aids. Each collective mark is awarded from 0 to 10.

5. The collective marks, as well as certain difficult movements, can be given a coefficient, which is fixed by the author of the Test.

#### Article 343 - Judges' Sheets

- 1. Marking sheets, one per entry per Judge, are required. Marks and Judges comments should be entered in ink.
  - 1.1 The Judges' sheets have 2 columns, the first for the Judge's original mark and the second for the Judge's comments. Any correction made to a mark must be initialled by the Judge making the correction.
  - 1.2 In the comments column the Judge should as far as possible state his/her reason for his/her judgment. It is strongly recommended that comments should be given when giving marks of 5 or below.
  - 1.3 The judge gives the collective marks and then signs the sheet and passes it to the scorers. The scorers multiply the marks by the corresponding coefficients where applicable and total the marks. Penalty points for errors in the execution of the test are then deducted on each Judge's sheet. The total score for classification is obtained by adding the total points on each of the Judges' sheets and converted to a percentage.
- 2. Competitors may be given their Judging sheets on the completion of the competition but not until the Judges have sanctioned their distribution.
- 3. Competitors taking part in two dressage classes on the same day judged by the same Judge, shall not have access to their judging sheets before competing in the second class.

- 4. Judges' marking sheets with remarks duly signed by the Judge, must be treated as confidential until given to the competitor, when they become his or her private property.
- 5. The Organisers of a dressage competition are obliged to make public the percentage awarded by each of the Judges, the total percentage and the classification of results, for each of the entries in the competition. Results should be announced as soon as possible after each competitor has completed the test.

#### Article 344 – Classification

- After each performance and after each judge has given his collective marks and signed the sheets, these pass into the hands of the scorers. The marks are multiplied by the corresponding coefficients, where applicable, and then totalled. Penalty points for errors in the execution of the tests are then deducted on each judge's sheet.
- 2. The total score for the classification is obtained by adding the total points on each of the judge's sheets and converted to a percentage.
- 3. The winner is the athlete having attained the highest total percentage, the second placed athlete is the one with the next highest total and so on.

**Ties.** In the case of equality of percentage for the first three places, the higher collective marks will decide on the better placing. If these marks are tied, the placings remain tied.

#### Article 345 – Prize-giving

- Participation in the prize-giving ceremony of placed competitors is compulsory. Failure to do so entails losing the classification (rosette, plaque, prize in kind, prize money). Exception to this rule can only be granted by the President of the Ground Jury.
- 2. Rosettes/rugs should be placed on Horses prior to prizegiving.

# CHAPTER VIII - ENTERING FOR A DRESSAGE EVENT

## Article 346 – Entering for a Dressage Event

- 1. Organisers shall provide every riding establishment wishing to participate with an entry form for each of the dressage competitions to be ridden in the show and with copies of each test to be ridden. This should be done at least 7 days prior to the show date, whenever possible.
- 2. Competitors wishing to enter must fill out all details required by the Organiser and listed on the entry form.
- 3. "Nomination" of athlete or horse will be accepted only at the discretion of the Organiser, who shall inform the persons concerned of the latest date on which they must declare actual name of horse and athlete.

All entries must be made before the deadline specified by the Organisers. Late entries may only be accepted at the discretion of the Organisers.

- 4. Entry fee for a competition is payable at the time fixed by the Organiser and entrance to the arena may be refused to any one who has not paid the required fee.
- 5. Competitors wishing to ride "hors concours" (out of competition) in any dressage competition must obtain prior permission from the Organisers. They will normally ride before all other competitors. They will not be eligible for any grading points, prize or rosette. Payment of the entry fee is normally due, but may be waived at the discretion of the Organisers.
- 6. Making an entry for any dressage competition implies full acceptance of these Rules.
- 7. It is the responsibility of Organisers and athletes to ensure that all entries are eligible to compete.

## Article 347 – Competition Etiquette

Competitors will assist Stewards in the efficient running of events by:-

- 1. Reporting their presence to the Collecting Ring Steward while riding-in, if possible 15 minutes before their starting time and being on hand nearer the time to receive the Steward's signal to go to the arena.
- 2. Riding where close to the arena, as soon as the previous competitor has made his exit.
- 3. Beginning their test without delay on receiving the Judges' signal to start.

- 4. On a signal being given for error of course, proceeding immediately to the Judges, to receive instructions.
- 5. By ensuring that they are conforming to the rules regarding dress, saddlery and tack.

#### Article 348 – Interpretation of Score Sheets

Every movement (as shown in the test sheet) is given a mark by the Judges consistent with its quality and the way it is ridden. (See FEI Dressage Handbook) To facilitate understanding of any mark awarded by the Judges, competitors should note that Judges will penalise the following common faults according to their importance, in every individual movement and will also take account of them in the collective marks:

- 1. Basic Faults
  - 1.1. Irregular or unlevel paces
  - 1.2. Horse consistently without impulsion (e.g., but not limited to, failure to overtrack at extended paces).
  - 1.3. Horse consistently resisting and disobedient
  - 1.4. Horse moving consistently crooked
  - 1.5. Athlete's faulty or slanted seat and ineffectual aids

#### 2. Major Faults

- 2.1. Unsteady head (tossing or side-to-side movement out of rhythm)
- 2.2. Faulty head carriage (above, below or behind the bit, tilted consistently to one side). Lolling tongue.
- 2.3. Break in the gait called for in test sheet. or uncalled for in test sheet, or uncalled for change of lead at canter.
- 2.4. Lack or loss of rhythm or of harmony between horse and athlete.
- 2.5. Horse not bent and flexed as required by movement being ridden (e.g. on curved lines, circles, two-track movements).
- 2.6. Faulty geometry (e.g., corners taken too wide; circles too large or irregular shape; serpentines with unequal or irregular loops etc.)

# CHAPTER IX GROUND JURY

### Article 349 - Judges

- 1. All dressage competitions held under HKEF rules shall be judged by one suitably qualified Judge and, wherever possible, a Ground Jury of at least two suitably qualified Judges.
- 2. Judges should be positioned around the arena as follows:
  - C on the short side, 5m out from the arena on the prolongation of the centre line;
  - M on the short side, 5m out from the arena, 2.5m in from the prolongation of the long side;
  - H on the short side, 5m out from the arena, 2.5m in from the prolongation of the long side;
  - B on the long side 5 -10m out from the arena in line with marker B
  - E on the long side 5 -10m out from the arena in line with marker E

The President of the Jury should be positioned at C.

- 3. No judge may officiate at an event if his/her duties will involve a conflict of interest. The following may not serve as Judges:
  - 3.1 Owners or athletes of horses taking part in the competition
  - 3.2 Close relatives of those mentioned in paragraph 3.1 above
  - 3.3 Chefs d'equipe whose competitors are entered in the competition
  - 3.4 Trainers of competitors entered in the competition
- 4. Such Judges must be:-
  - 4.1. Qualified to judge by the HKEF and listed on its current panel of Dressage Judges, on a list appropriate to the level of competition being staged.
  - 4.2. Foreign National Judges of the appropriate level and approved by the Executive Committee of the HKEF and appointed by the Organisers of the competition to judge at an event.
  - 4.3. Judges of International standard, listed by the FEI as International 3\* Dressage Judges or higher, may judge alone, subject to prior permission of the HKEF.

## Article 350 – Listing of Judges

Judges are listed under four categories as follows:-

1. List D

Qualified to judge as a member of a Jury all BE, BHS, BD and EA tests of Preliminary and Novice standard.

2 List C

Qualified to judge alone all BE, BD and EA tests of up to and including Novice level or as a member of a Jury BE, BD and EA Elementary tests with a higher list Judge.

3 List B

Qualified to judge alone all BE, BD and EA tests of up to and including Elementary tests and as a member of a Jury BHS BD and EFA Medium tests and FEI WDC (except Prix St Georges).

4 List A

Qualified to judge alone or as a member of a Jury all BE, BD, and EA, tests up to and including Advanced tests and FEI WDC and FEI tests up to and including Prix St Georges/Intermediate 1.

#### Article 351 – Appointment of Judges

Appointment or promotion may only be recommended subsequent to the candidate achieving a suitable level of theoretical knowledge and practical experience and being recommended as suitable by the Senior Discipline Judge or by a visiting assessing Judge appointed by the Committee.

#### Article 352 – Abuse of Horses

The General Regulations refer to Abuse of Horses and such rules and regulations are applicable to all HKEF events